



**American Recovery and Reinvestment Act
(ARRA)**

Governors Economic Recovery Team

April 20, 2010



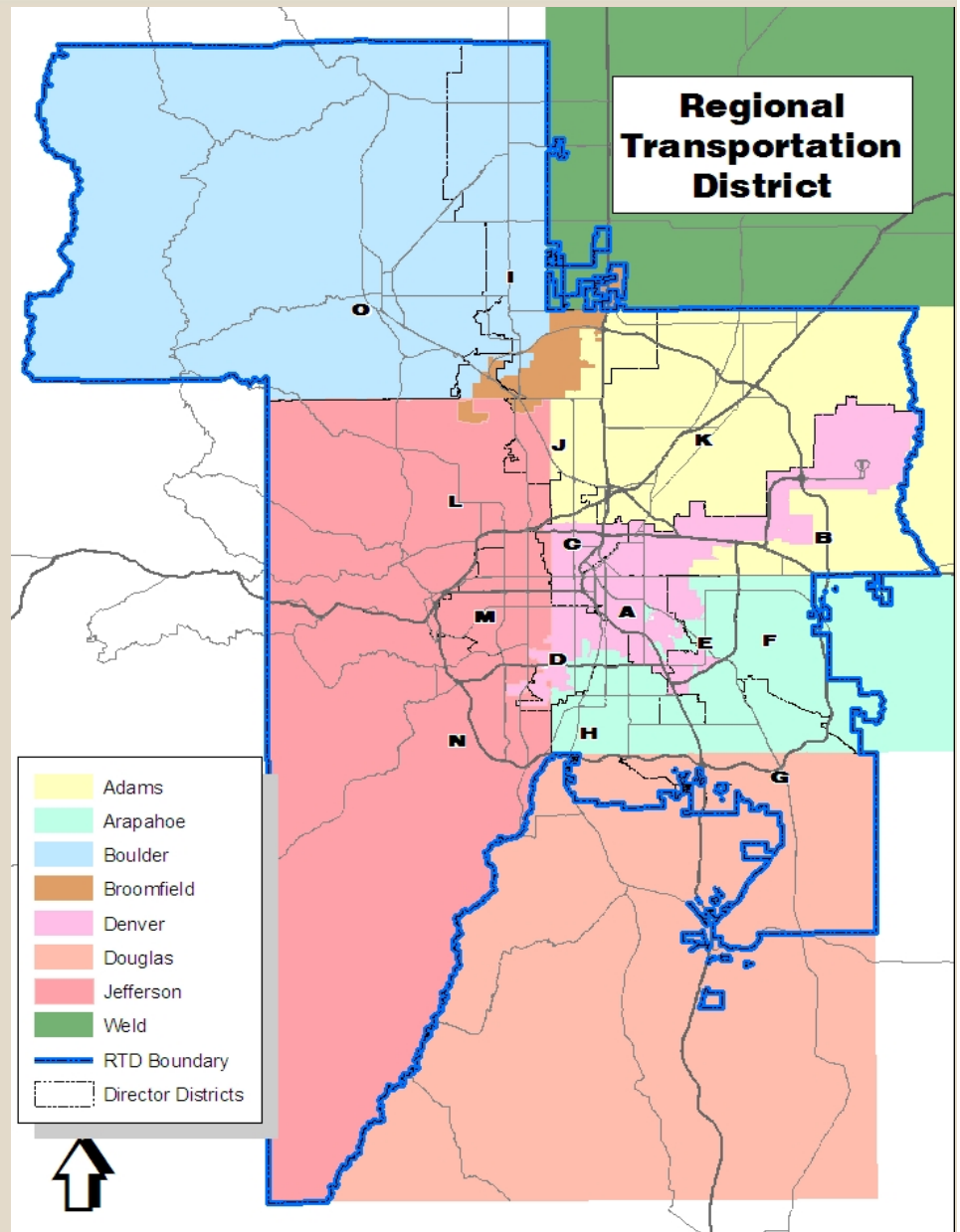
Today's Agenda

- RTD and FasTracks
- Base System ARRA awards
- FasTrack ARRA Awards
- ARRA Process and Awards
- Audits
- Systems
- RTD DBE / SBE Programs



Service Area

- 8 counties
- 40 municipalities
- 2,348 square miles
- 2.8 million people
(approximately 57% of Colorado population)





RTD Facts

- 1,050 total buses
 - 612 RTD-operated buses
 - 438 contractor operated buses
- 830 peak period buses
- 359 paratransit vehicles
 - 325 access-a-Ride
 - 34 call-n-Ride
- 125 light rail vehicles
- 150 routes
- 74 park-n-Rides
- 2,466 budgeted employees
 - 664 salaried staff
 - 1,802 represented employees
- 6 operating facilities



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- The map displays the Central Corridor and its connections to other regional transit systems. The main map shows the Central / CPV Corridor (blue line) running from Union Station in Denver south through Englewood, Littleton, and Centennial to Lone Tree. Other corridors shown include the Northwest Rail Corridor (orange line) extending northwest to Longmont, the North Metro Corridor (orange line) extending north to Broomfield, the Gold Line (orange line) extending west to Golden, the East Corridor (orange line) extending east to Aurora, and the Southwest Corridor (blue line) extending southwest to Morrison. The US 36 BRT Corridor (green line) runs from the northwest towards downtown Denver. The map also shows existing light rail lines (light blue) and bus rapid transit routes (green). A legend in the bottom right corner identifies the line types: Commuter Rail (orange), Light Rail (blue), Existing Light Rail (light blue), Bus Rapid Transit (green), park-n-Ride (red 'P' symbol), and Station without parking (blue dot). A north arrow and the text 'Not to Scale' are located at the bottom center. An inset map in the top right corner provides a detailed view of the central Denver area, showing the intersection of the Central Corridor with the Gold Line, US 36 BRT Corridor, and North Metro Corridor, as well as the West, East, Southwest, and Southeast Corridors.

FasTracks Construction Progress

- **47 miles of new rail lines will be under contract or in construction by the end of this year**
- **West Corridor**
 - Full construction
- **Denver Union Station**
 - Construction underway
- **U.S. 36 BRT Corridor**
 - Construction of bus improvements complete this spring





FasTracks Benefits

- Stimulates the economy
 - Every \$1 invested translates into \$4 injected into local economy
- 10,000 construction-related jobs at height of construction
- Provides opportunities for livable, sustainable communities & affordable housing
- Contributes to use of renewable energy
- Provides multi-modal solutions (rail and bus transit, bicycle and pedestrian improvements)





ARRA Award Process

- American Recovery and Reinvestment Act, 2009 (ARRA)
- Signed into law by President Barack Obama on February 17, 2009
- Goals of the statute include the preservation or creation of jobs and the promotion of an economic recovery
- March 17, 2009, the RTD Board of Directors approved the staff recommendation for projects



ARRA Award Process – Continued

- RTD received approval for the Transportation Improvement Program (TIP) and obligated all of the funds awarded by July 21, 2009
- RTD has previously received and reported on numerous grants from various grantors.
- RTD's Oracle ERP system is used to track cost to the various grants



ARRA Awards – Continued

- The ARRA awards were set up in Oracle, the budgets established, and RFP's issued.
- Additional reporting requirements are defined in the RFP as a contractor and subcontractor requirement



Base System Awards, Expenditures, and Completion

April 7, 2010		ARRA PROJECT STATUS REPORT					
Project Name	Estimated Cost	Current Status	Notice to proceed has been issued	Expenditures as of 3.31.10	Qtr 3 2009 FTE	Qtr 4 2009 FTE	Qtr 1 2010 FTE
RTD Base System Projects							
Farebox Equipment / Smart Card Technology	\$ 17,100,000	Proposals have been received by RTD	No	\$ -	-	-	-
Capital Maintenance (Bus and Rail)	10,253,129	Capital Maintenance - Finalized	Complete	10,253,129	359.65	-	-
Southwest Corridor Safety Improvements	5,000,000	Contract Awarded	Yes	4,170,227	3.04	8.26	6.52
Central Corridor Replace Corroded Pandrol Clips	2,000,000	5 bids received	To be issued 4/12/10	-	-	-	-
District Shops Roof Replacement	2,000,000	Contact Awarded	Yes	1,499,883	0.38	-	15.50
Treasury Sewer Line Replacement	240,000	Contract Awarded	Yes	9,946	-	-	0.14
Software, Hardware, and Services for Oracle System Enhancements*	1,450,000	Project set up in Oracle, Budget transfer is complete, Starting to scope project	No	-	-	-	-
Platte Facility Driver Facility Remodel	902,000	IFB to be issued on 4/13/10	No	-	-	-	-
East Metro Heat Recovery Units	550,000	IFB scheduled to be issued on 4/26/10	No	11,169	-	0.01	0.05
SAN Upgrade	330,600	Contract Awarded	Yes	319,308	-	-	-
6 intercity buses (Originally 55 Buses @ \$500,000 / EA)	3,006,000	Contract Awarded	Yes	6,776	-	0.05	0.02
Wellness Center Mechanical Upgrades	302,000	3 bids received	No	14,414	0.20	-	-
Platte Hoist	120,000	Contract Awarded	Yes	120,000	-	0.51	1.02
Automatic Passenger Counters	753,399	Contact will be awarded by March 5, 2010	No	15,545	-	-	0.25
Total RTD Base System Projects	\$ 44,007,128			\$ 16,420,397	363.27	8.82	23.50



FasTracks Awards, Expenditures, and Completion

April 7, 2010		ARRA PROJECT STATUS REPORT					
Project Name	Estimated Cost	Current Status	Notice to proceed has been issued	Expenditures as of 3.31.10	Qtr 3 2009 FTE	Qtr 4 2009 FTE	Qtr 1 2010 FTE
FasTracks Projects							
Denver Union Station	\$ 9,800,000	Limited Notice to proceed issued	Yes	\$ 9,800,000	-	67.63	3.26
US 36 Queue Jumps	7,593,842	Design is in Progress	Yes	254,174	0.23	1.85	0.87
4-car Platform Extensions-Central Platte Valley	1,540,000	Contract Awarded	Yes	984,170	-	9.95	13.61
4-car Platform Extensions- Southwest Corridor	1,100,000	See information above for Central Platte Valley	Yes	-			
13th Ave from Carr St to Zephyr St Storm Sewer Box Culvert	4,491,140	Part of West Corridor CMGC Contract - full NTP issued to Denver Transit Construction Group on 6-16-09	Yes	-	-	-	-
East of W. Howard Place LRT bridge to accommodate gulch drainage improvements	2,669,322	Part of West Corridor CMGC Contract - full NTP issued to Denver Transit Construction Group on 6-16-09	Yes	-	-	-	-
8th Street Relocation at Denver Federal Center	1,420,087	Part of West Corridor CMGC Contract - full NTP issued to Denver Transit Construction Group on 6-16-09	Yes	-	-	-	-
Guideway Improvements to accommodate 2nd siding west of I-70	224,056	Part of West Corridor CMGC Contract - full NTP issued to Denver Transit Construction Group on 6-16-09	Yes	-	-	-	-
DRCOG Contribution DUS Flex Funds	18,600,000	Limited Notice to proceed issued	Yes	12,098,009	-	-	65.20
West Corridor Acceleration of the FFGA	40,000,000	We requested a close out in Team but per FTA the grant will need to stay open for AARA reporting purposes..	Yes	40,000,000	295.92	116.39	-
Total FasTracks Projects	\$ 87,438,447			\$ 63,136,353	296.15	195.82	82.94



Tigger Awards, Expenditures, and Completion

April 7, 2010		ARRA PROJECT STATUS REPORT					
Project Name	Estimated Cost	Current Status	Notice to proceed has been issued	Expenditures as of 3.31.10	Qtr 3 2009 FTE	Qtr 4 2009 FTE	Qtr 1 2010 FTE
FTA Tigger Grant Awards:							
East Metro RTD Facility Boiler Installation	\$ 770,000	Application submitted in Team	No	\$ -	-	-	-
Boulder RTD Facility Boiler Installation	325,000	Application Submitted in Team	No	-	-	-	-
Total Tigger awards	\$ 1,095,000			\$ -	-	-	-



Awards, Audit, and Compliance

- RTD has been a Federal Transit Administration (FTA) grantee for over thirty years
- RTD receives and accounts for an average of \$90 million per year in FTA awards
- RTD is the grantee recipient of:
 - 5307 – Urbanized Area Formula Grants
 - 5309 – Discretionary Grants
 - FFGA – Full Funding Grant Agreements



Awards, Audit, and Compliance - Continued

- JARC – Job Access Reverse Commute
- CMAQ – Congestion Mitigation Air Quality
- ADA New Freedom Grants
- SB 1 – Senate Bill 1 State Grants
- PNRS – Projects of National and Regional Significance



Awards, Audit, and Compliance - Continued

- To insure compliance RTD has the following reviews and audits:
 - Financial Management Oversight Program
 - Evaluation of the Grantee's financial system and internal controls
 - Financial System Controls
 - Financial reporting – Accurate, current financial reporting



Awards, Audit, and Compliance - Continued

- Accounting records - Identify source and application of funds
- Internal control – Property record requirements, physical inventory, asset maintenance program
- Budget control – Actual expenditures compared to budget and variance analysis



Awards, Audit, and Compliance - Continued

- Allowable cost – Procedures for OMB cost principles determining fair and reasonable allowable costs
- Source documentation – Accounting record support
- Cash management – Procedures for FTA cash draws
- Project change accounting – System must be able to document and track change



Awards, Audit, and Compliance - Continued

- Project Management Oversight (PMO)
 - PMO's are assigned to major projects to insure compliance with FTA standards
 - West Corridor
 - DUS
 - Eagle P3
- Triennial Review
 - Examines Grantee's performance meeting statutory and administrative requirements
- Procurement reviews to insure compliance with FTA procurement best practices
- Safety reviews
- Civil right reviews



Awards, Audit, and Compliance - Continued

- OMB Circular A-133 Single Audit
 - Required for all non-federal entities expending over \$300,000 of federal awards per year
 - Audit encompasses
 - All federal expenditures
 - Audit of financial statements
 - Compliance reviews
 - And review of internal controls



Awards, Audit, and Compliance - Continued

- RTD's 2008 A-133 audit had no financial statement findings, but included two findings for federal award allowable cost
 - Urbanized formula grant \$171 for coding error of training meals
 - New start capital grant for \$21,418 for installation of a ticket vending machine for training
- RTD finding amount was insignificant, less than .001% of grant expenditures in 2008



Small Business Opportunity Office Federal Responsibilities

- All FTA Grantees who receive planning, capital and/or operating assistance and who anticipate that they will award prime contracts cumulatively exceeding \$250,000 during a Federal fiscal year are required to have in place Disadvantaged Business Enterprise (DBE) Program (49 CFR Part 26)



The RTD Small Business Opportunity Office Responsibilities

- Setting goals for DBE participation and ensuring compliance with goal setting
- Workforce and certified payroll
- Tracking and monitoring DBE's in the field to ensure they are adequately performing service as contracted



The RTD Small Business Opportunity Office Responsibilities - Continued

- Committed to helping DBE's by providing the following services:
 - Business supportive services
 - Certified payroll training
 - Technical assistance, identifying business weaknesses
 - Notifying firms on upcoming contracting opportunities (MOU Stakeholders, NetWork)
 - Expanding knowledge on competing for contracts and future endeavors



DBE/SBE Compliance Monitoring

- Compliance
 - To ensure contract compliance for participation
 - Adherence to federal objectives, regulations, and codes
- Monitoring
 - Ensures that exhaustive measures are utilized in meeting contract goals



DBE/SBE Compliance Monitoring

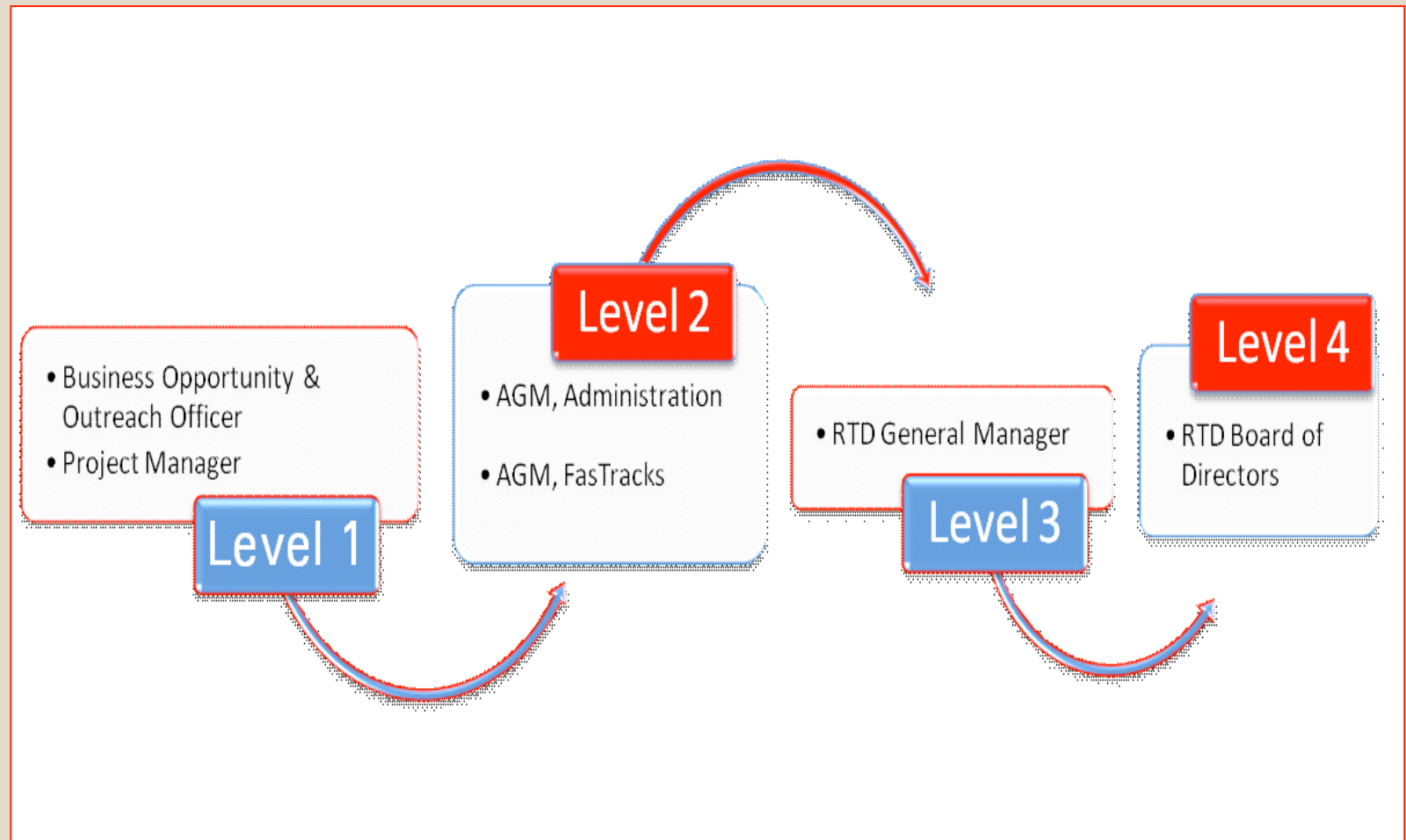
- Continued

- **Audit Ready**
 - It is critical that RTD FasTracks be Audit Ready
 - The monitoring process for participating D/SBE's firms ensures and maintains regulatory compliance
- **Contract Inclusion**
 - Ensures that qualified and prepared DBE Firms are afforded opportunities to participate on RTD FasTracks contracts

*In accordance with Federal Regulation 49 CFR 26.37



SBOO Support



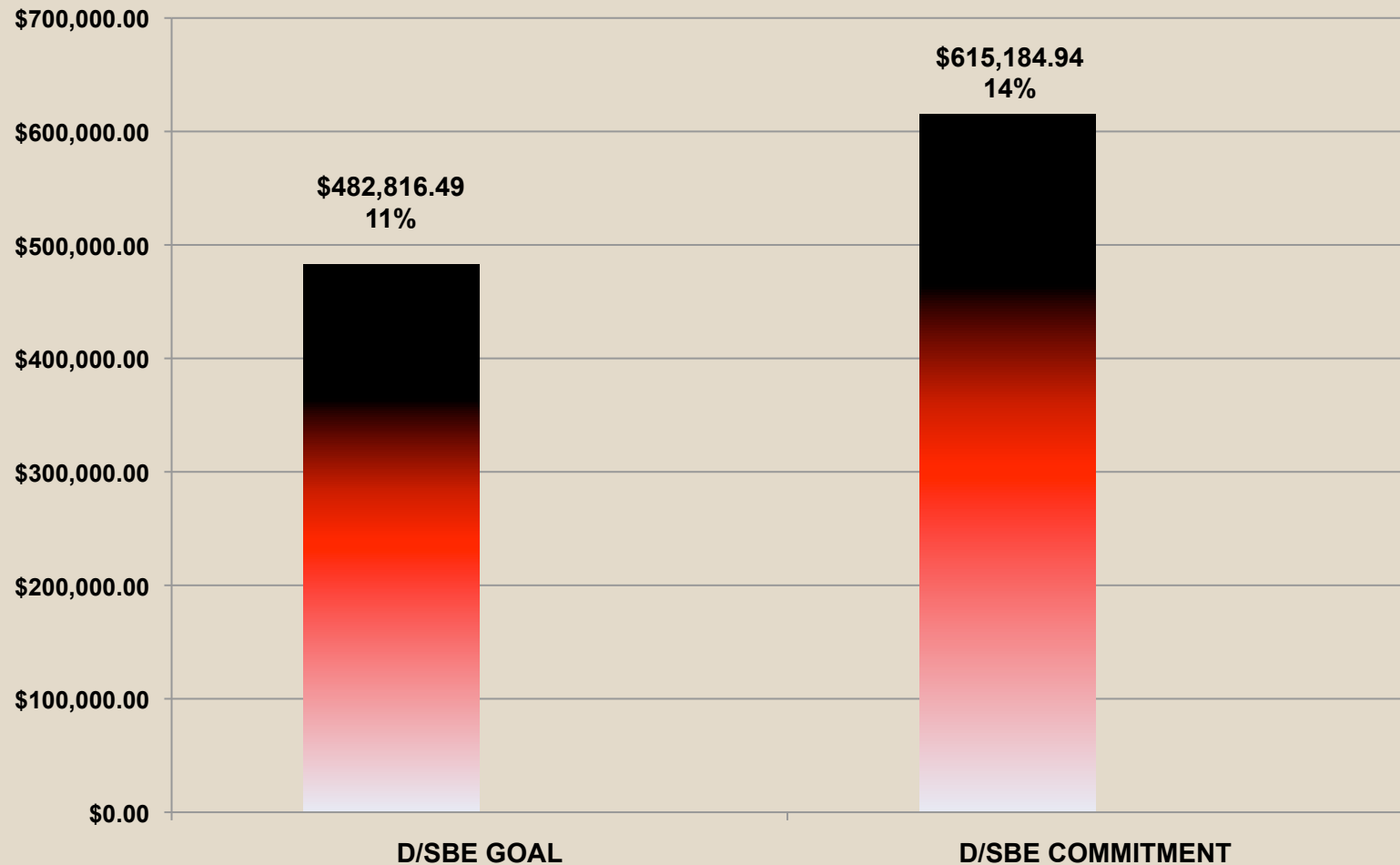


Success, Advancement and Opportunities with ARRA Funding

PRIME	CONTRACT	ORIGINAL CONTRACT AMOUNT	ORIGINAL CONTRACT AMOUNT +/- C.O	D/SBE GOAL %	D/SBE GOAL AMOUNT \$	D/SBE PRIME COMMITMENT %	D/SBE PRIME COMMITMENT \$
DIAMOND EXCAVATING	TREASURY SEWER LINE REPLACEMENT	\$102,088.50	\$102,088.50	10%	\$10,208.85	29%	\$29,440.00
DOUGLASS COLONY GROUP, INC	RTD DISTRICT SHOPS, ROOF REPLACEMENT	\$1,964,000.00	\$2,010,781.18	10%	\$201,078.12	10%	\$201,078.12
KRISCHE CONSTRUCTION	4 CAR PLATFORM EXTENSION	\$ 2,262,746.00	\$ 2,310,932.84	12%	\$ 277,311.94	17%	\$ 392,858.58
TOTAL		\$4,328,834.50	\$4,423,802.52	11.04%	\$488,598.91	14.09%	\$623,376.71



Success, Advancement and Opportunities with ARRA Funding



Questions?